(19) World Intellectual Property Organization

International Bureau



(43) International Publication Date 11 November 2004 (11.11.2004)

(10) International Publication Number WO 2004/096882 A1

(51) International Patent Classification7: 18/42, 18/48

C08G 18/36,

(21) International Application Number:

PCT/US2004/012427

(22) International Filing Date: 21 April 2004 (21.04.2004)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data: 60/465,685

25 April 2003 (25.04.2003)

(71) Applicant (for all designated States except US): DOW GLOBAL TECHNOLOGIES, INC. [US/US]; Washington Street, 1790 Building, Midland, MI 48674 (US).

(72) Inventors; and

(75) Inventors/Applicants (for US only): LYSENKO, Zenon [US/US]; 214 West Meadowbrook Drive, Midland, MI 48640 (US). SCHROCK, Alan, K. [US/US]; 204 River Oaks Drive, Lake Jackson, TX 77566 (US). BABB, David, A. [US/US]; 202 Live Oak Lane, Lake Jackson, TX 77566 (US). SANDERS, Aaron [US/US]; 3503 Corbett Court, Missouri City, TX 77459 (US). TSAVALAS, John [US/US]; 4815 Claremont Street Apartment 2, Midland, MI 48642 (US). JOUETT, Ray [US/US]; 15726 Spring Forest Drive, Houston, TX 77059 (US). CHAMBERS, Larry [US/US]; 15511 Poplar Springs, Houston, TX 77062 (US). KEILLOR, Charles [US/US]; 55 South Callalily Court, Lake Jackson, TX 77566 (US). GILCHRIST, James, H. [US/US]; 376 Roxalana Hills Drive, Dunbar, WV 25064 (US).

- (74) Agent: NILSEN, Kevin, J.; The Dow Chemical Company, Intellectual Property Section, P.O. Box 1967, Midland, MI 48674-1967 (US).
- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM,
- (84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: VEGETABLE OIL BASED POLYOLS AND POLYURETHANES MADE THEREFROM

(57) Abstract: Polyols useful in the manufacture of polyurethanes are disclosed. The polyols are prepared by reacting a vegetable oil based (hydroxymethyl containing) monomer with a polyol, polyamine or aminoalcohol under vacuum.

VEGETABLE OIL BASED POLYOLS AND POLYURETHANES MADE THEREFROM

Field of the Invention

The invention relates to improved methods of making vegetable based polyols to make, for example, polyurethane foams.

Background of the Invention

10

25

30

Polyurethanes are produced by the reaction of polyisocyanates and polyols. The first large scale commercial production of polyurethanes arose using polyester polyols from the ester condensation reaction of diols or polyols and dicarboxylic acids to make flexible foams. The polyester polyols were supplanted by polyether polyols because of lower cost and ability to make a wide range of 15 polyols. Polyethers are made by polymerizing epoxides (oxiranes) derived from petroleum feedstocks with active hydrogen starting compounds (polyols and polyamines).

Rigid polyurethane foams have been made with castor oil or castor oil byproducts. Castor oil has been used in 20 rigid foams because of its low molecular weight (short chain length) and high functionality (trihydroxyl).

Attempts have been made to make polyols from vegetable or renewable feedstocks such as those disclosed by Peerman et al., US. Patent Nos. 4,423,162; 4,496,487 and 4,543,369. Peerman et al. describe a method reacting a hydroxyester monomer with a polyol or polyamine. Peerman et al., specifically describe problems of gelling, which can be avoided by limiting the extent of conversion or by using quantities of reactants far from the amounts required stoichiometrically. Consequently, Peerman et al., only describe elastomers (crosslinked rigid polyurethanes) from their resultant polyols. In addition, the presence of secondary hydroxyls were described as causing sweating,

wherein the product appears to be wet and not fully cured, thus limiting the use of low cost renewable initiators such as glycerol.

Accordingly, it would be desirable to provide both a formation method and a vegetable based polyol that solves one or more of the problems of the prior art, such as one of those described above. In particular it would be desirable to provide a vegetable oil based (VOB) polyol that can be used to make flexible polyurethane foams in the absence of any other polyols.

Summary of the Invention

A first aspect of the invention is a process to make a vegetable oil based polyol, the process comprising,

i) mixing an initiator that is a polyol, polyamine,aminoalcohol or mixture thereof and a vegetable oilbased (VOB) monomer having at least one of the formulae:

$$_{\rm CH_{3}O-C-(CH_{2})_{m}-CH-CH_{2}-OH}^{\rm O}$$

$$\begin{array}{c} \text{CH$_2$OH} \\ \text{CH$_3$O$---$C$---(CH$_2$)$}_{v}\text{--CH}---(CH$_2$)$}_{z}\text{--CH}---CH$_2$--OH} \\ \text{II,} \\ \end{array}$$

20

5

10

15

5

10

15

20

25

30

where m, n, v, r, and s are integers and m is greater than 3, n greater than or equal to zero and m+n is from 11 to 19, v is greater than 3, r is greater than or equal to zero, s is greater than or equal to zero and v+r+s is from 10 to 18, and

ii) heating the mixture to a reaction temperature, for a reaction time, while under a vacuum and in the presence of an amount of catalyst sufficient to form the vegetable oil based polyol. It is understood that the initiator fails to contain an ester group that can transesterify under the reaction conditions.

The method of the first aspect surprisingly may form a non-gelled polyol with sufficient hydroxyl functionality and molecular weight to form a flexible foam when reacted with a polyisocyanate. The process even though performed under vacuum may use initiators that would volatilize off relative quickly at the reaction temperature used to form the VOB polyol. The process, surprisingly, makes novel non-gelled VOB polyols even when VOB monomers are present that have three hydroxyl groups. Finally, it has been surprisingly found that the process forms a unique VOB polyol, where all of the VOB monomer is reacted, but within the polyol there are some hydroxyl or amine groups of the initiator that have not been reacted even though the amount of VOB monomer is far in excess of the stoichiometric amount needed to react therewith.

A second aspect of the invention is a process to make a vegetable based polyol, the process comprising,

i) heating, in the presence of a catalyst a vegetable oil based monomer having at least one of the formulae:

$$CH_3O-C-(CH_2)_m-CH-CH_2-OH$$
 $(CH_2)_n CH_3$

$$\begin{array}{c} \text{CH}_{2}\text{OH} \\ \text{CH}_{3}\text{O---}\text{C---}\text{(CH}_{2})_{\text{V}}\text{--CH---}\text{(CH}_{2})_{\text{r}}\text{--CH---}\text{CH}_{2}\text{--OH} \\ \text{II,} \\ \end{array}$$

where m, n, v, r, and s are integers and m is greater than 3, n greater than or equal to zero and m+n is from 11 to 19, v is greater than 3, r is greater than or equal to zero, s is greater than or equal to zero and v+r+s is from 10 to 18 until some portion of the VOB monomers have reacted and subsequently

ii) introducing an initiator that is a polyol, polyamine, aminoalcohol or mixture thereof to the reacted VOB monomers of step (i) for a time and temperature, under vacuum, sufficient to form the vegetable based polyol. This aspect of the invention has been found to surprisingly make similar VOB polyols even though the initiator is added after the VOB monomers have, for example, built substantial molecular weight. The method is believed to give improved control over the resultant molecular weight of the VOB polyol that is formed.

A third aspect of the invention is a vegetable oil based polyol comprised of

$$\begin{array}{ccc}
& & & & & & \\
R & & & & & & \\
\hline
X' - H & & & & \\
(I) & & & & & \\
\end{array}$$
and (II) $R - X - A - H = 1$

15

20

where R is a residue of a polyol, polyamine or aminoalcohol initiator; X and X' may the same or different and is O, N or NH; p is an integer from 1 to 5; q is an integer from 1 to 5 wherein p + q is from 3 to 8, t is an integer from 3 to 8 and A may be the same or different and is selected from the group consisting of A1, A2 and A3 where

$$\begin{bmatrix} O \\ \parallel \\ -C - (CH_2)_m - CH - CH_2 - O - \\ \parallel \\ (CH_2)_n CH_3 \end{bmatrix}_a$$

10

$$\begin{array}{c|c} & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & \\ & & & \\ & &$$

where m, n, v, r, s, a, b and c are integers and m is greater than 3, n greater than or equal to zero and m+n is from 11 to 19, v is greater than 3, r is greater than or equal to zero, s is greater than or equal to zero and v+r+s is from 10 to

18, a is from 0 to 35, b is from 0 to 35 and c is from 0 to 35, so long as that all a's, b's and c's in any molecule of the vegetable oil based polyol are not all zero and (a+b+c)/(p+q+t) is about 5 to about 100 in the vegetable oil based polyol. It is understood that each or all of the hydroxyls may react with the methyl ester of another VOB monomer. As such, it is understood that the structures shown above merely model the actual degree of reaction (i.e., one VOB monomer hydroxyl reacted). However, any or all of the available hydroxyl groups are capable of reacting under the conditions of the polymerization. That is to say, the growth of the chain may occur not only at the hydroxyl site depicted in the above structures, but, at any of the hydroxyls of the VOB monomer. It is also conceivable that more than one of the available hydroxyl groups of the VOB monomer may be acylated.

A fourth aspect of the invention is a vegetable oil based polyol comprised of

where R is a residue of a polyol, polyamine or aminoalcohol initiator; X and X' may be the same or different and is O, N or NH; p is an integer from 1 to 5; q is an integer from 1 to 5 wherein p + q is from 2 to 8, t is an integer from 2 to 8 and A may be the same or different and is selected from the group consisting of A1, A2 and A3 where

A1 is

$$\begin{array}{c|c} & & \text{CH}_2\text{OH} \\ \hline \text{C} & & \text{CH}_2\text{OH} \\ \hline \text{C} & & \text{CH}_2\text{OH} \\ \hline & & \text{CH}_2\text{OH} \\ \hline & & \text{CH}_2\text{OH}_3 \\ \end{array}$$

CH₂OH
C—(CH₂)
$$_{\nu}$$
-CH—(CH₂) $_{r}$ -CH—(CH₂) $_{r}$ -CHCH₂O
CH₂OH (CH₂) $_{s}$ CH₃

where m, n, v, r, s, a, b and c are integers and m is greater than 3, n greater than or equal to zero and m+n is from 11 to 19, v is greater than 3, r is greater than or equal to zero, s is greater than or equal to zero and v+r+s is from 10 to 18, a is from 0 to 35, b is from 0 to 35 and c is from 0 to 35, so long as that all a's, b's and c's are essentially not all zero, at least a portion of A is A3 and (a+b+c)/(p+q+t) is greater than 0 to about 100 in the vegetable oil based polyol.

The vegetable oil based polyols may be used in any applications that polyols are used. Examples include polyurethane applications of all types such as elastomers, coatings, adhesives, sealants, rigid foams and in particular flexible foams.

Detailed Description of the Invention

The vegetable based polyols of the present invention are made by reacting an initiator with a vegetable oil based (VOB) monomer. The initiator has at least one

active hydrogen, which are reacted with the VOB monomer. The initiator may be depicted by the formula:

R(XH)p

Where X is O, N, or NH and p is 1 to 8. In the formula, X

may be the same or different. The initiator therefore
encompasses polyols, polyamines and aminoalcohols. R
generally represents a linear, cyclic chain or combination
thereof of alkane (C-C), alkene (C=C), ether (C-O-C) linkages
or combinations thereof. The carbons within the

aforementioned chain may be substituted with a methyl or
ethyl group. Generally the molecular weight of the initiator
is at most from 32 to about 2000. Preferably, the molecular
weight is at least about 50, more preferably at least about
60, most preferably at least about 90 to preferably at most
about 1400, more preferably at most about 1200 and most
preferably at most about 800.

Exemplary polyol initiators include neopentylglycol; 1,2-propylene glycol; trimethylolpropane; pentaerythritol; sorbitol; sucrose; glycerol; alkanediols such as 1,6-hexanediol; 2,5-hexanediol; 1,4-butanediol; 1,4-20 cyclohexane diol; ethylene glycol; diethylene glycol; triethylene glycol; 9(1)-hydroxymethyloctadecanol, 1,4bishydroxymethylcyclohexane; 8,8bis(hydroxymethyl)tricyclo[5,2,1,02,6]decene; Dimerol alcohol (36 carbon diol available from Henkel Corporation); 25 hydrogenated bisphenol; 9,9(10,10) bishydroxymethyloctadecanol; 1,2,6-hexanetriol; any of the aforementioned where at least one of the alcohol or amine groups present therein has been reacted with ethylene oxide, propylene oxide or mixture thereof; and combination thereof. 30

Any of the aforementioned where at least one of the alcohol groups present therein has been reacted with ethylene oxide or propylene oxide means the active hydrogen of the

hydroxyl reacts to form a polyether polyol exemplified by the following formula:

$$R(ZH)_p + P CH_2-CH_2 \longrightarrow R(OCH_2CH_2OH)_p$$

where R is the same as defined above. It is also understood that the other alkoxylating agents instead of ethylene oxide or propylene oxide may be. Amine groups may also be reacted with the alkoxylating agent.

Exemplary polyamine initiators include ethylene diamine; neopentyldiamine, 1,6-diaminohexane; bisaminomethyltricyclodecane; bisaminocyclohexane; diethylene triamine; bis-3-aminopropyl methylamine; and triethylene tetramine.

Exemplary aminoalcohols include ethanolamine, diethanolamine, and triethanolamine.

Other useful initiators that may be used include polyols, polyamines or aminoalcohols described in U.S. Patent Nos. 4,216,344; 4,243,818 and 4,348,543 and British Pat. No. 1,043,507.

Preferably, the initiator is selected from the group consisting of neopentylglycol; trimethylolpropane; 20 pentaerythritol; sorbitol; sucrose; glycerol; 1,2-propylene glycol; 1,6-hexanediol; 2,5-hexanediol; 1,6-hexanediol; 1,4cyclohexane diol; 1,4-butanediol; ethylene glycol; diethylene glycol; triethylene glycol; bis-3-aminopropyl methylamine; ethylene diamine; diethylene triamine; 9(1)-25 hydroxymethyloctadecanol; 1,4-bishydroxymethylcyclohexane; 8,8-bis(hydroxymethyl)tricyclo[5,2,1,02,6]decene; Dimerol alcohol; hydrogenated bisphenol; 9,9(10,10)bishydroxymethyloctadecanol; 1,2,6-hexanetriol; any of the aforementioned where at least one of the alcohol or amine groups present therein has been reacted with ethylene oxide, propylene oxide or mixture thereof; and combination thereof.

More preferably the initiator is selected from the group consisting of neopentylglycol; 1,2-propylene glycol; trimethylolpropane; pentaerythritol; ethoxylated pentaerythritol; propoxylated pentaerythritol; sorbitol;

5 sucrose; glycerol; ethoxylated glycerol; propoxylated glycerol; diethanolamine; alkanediols such as 1,6-hexanediol, 1,4-butanediol; 1,4-cyclohexane diol; 2,5-hexanediol; ethylene glycol; diethylene glycol, triethylene glycol; bis-3-aminopropyl methylamine; ethylene diamine; diethylene triamine; 9(1)-hydroxymethyloctadecanol, 1,4-bishydroxymethylcyclohexane; 8,8-bis(hydroxymethyl)tricyclo[5,2,1,0^{2,6}]decene; Dimerol alcohol; hydrogenated bisphenol; 9,9(10,10)-bishydroxymethyloctadecanol; 1,2,6-hexanetriol and combination thereof.

Even more preferably the initiator is selected from the group consisting of glycerol; ethylene glycol; 1,2-propylene glycol; trimethylolpropane; ethylene diamine; pentaerythritol; diethylene triamine; sorbitol; sucrose; or any of the aforementioned where at least one of the alcohol or amine groups present therein has been reacted with ethylene oxide, propylene oxide or mixture thereof; and combination thereof.

20

Most preferably the initiator is glycerol,

pentaerythritol, sucrose, sorbitol, an ethoxylated glycerol,
propyxylated glycerol, ethoxylated pentaerthritol,
propyxylated pentaerthritol or mixture thereof.

Surprisingly, using the method of the present invention, it is preferred that an initiator is used that has at least one secondary hydroxyl or secondary amine (e.g., glycerol). It is surprising, because the reaction may cause the VOB monomer to react, for example with glycerol, in such a way that the resultant vegetable oil based polyol has at least some polyol molecules where at least one of the primary hydroxyls of the glycerol has not reacted with the VOB

monomer, but the secondary hydroxyl has. This is further described below.

The VOB monomer is a vegetable oil based monomer having at least one of the formulae:

$$\begin{array}{c} \text{CH}_{3}\text{O} \\ \\ \text{C} \\ \\ \text{CH}_{2}\text{)}_{\text{m}} \\ \\ \text{CH}_{2}\text{)}_{\text{n}} \\ \text{CH}_{3} \end{array}$$

5

$$_{\rm CH_{3}O-C-(CH_{2})_{v}-CH-(CH_{2})_{r}-CH-(CH_{2})_{r}-CHCH_{2}-OH}^{\rm CH_{3}O-C-(CH_{2})_{v}-CH-(CH_{2})_{r}-CH-(CH_{2})_{r}-CHCH_{2}-OH}^{\rm CH_{2}OH}$$

where m, n, v, r, and s are integers and m is greater than 3, n greater than or equal to zero and m+n is from 11 to 19, v is greater than 3, r is greater than or equal to zero, s is greater than or equal to zero and v+r+s is from 10 to 18.

vegetable oil that is comprised of triglycerides that upon saponification with a base such as aqueous sodium hydroxide yields a fatty acid and glycerol, where at least a portion of the fatty acids are unsaturated fatty acids (i.e., contain at least one carbon double bond). Preferred vegetable oils are those that yield at least about 70 percent unsaturated fatty acids by weight. More preferably, the vegetable oil yields at least about 85 percent, more preferably at least 87 percent, and most preferably at least about 90 percent by

weight unsaturated fatty acids. It is understood that specific fatty acids derived from a vegetable oil, animal fat or any other source may be used. That is to say, for example, palmitoleic, oleic, linoleic, linolenic and arachidonic fatty acid alkyl esters may be used to form the VOB monomer directly. It is preferred, however, to use a vegetable oil as previously described. Preferred vegetable oils include, for example, soy, safflower, cotton, linseed, peanut, olive, sunflower, canola, rapeseed, corn, palm oil or combination thereof. More preferably, the vegetable oil is a soy, sunflower, canola, corn, rapeseed oil, or combination thereof. Most preferably, the vegetable oil is soy, sunflower, canola oil or combination thereof. It is understood that the vegetable oil may be obtained from a genetically modified organism, such as genetically modified soybean, sunflower or canola.

10

15

The unsaturated fatty acid alkyl esters then may be formed, by any suitable process such as those known in the art, into the VOB monomer (hydroxymethylesters). For example, the hydroxymethyl group may be introduced by a hydroformylation process using a cobalt or rhodium catalyst followed by the hydrogenation of the formyl group to obtain the hydroxymethyl group by catalytic or by chemical reduction. Procedures to form the hydroxymethylesters are described in U.S. Pat. Nos. 4,216,343; 4,216,344; 4,304,945 and 4,229,562 and in particular 4,083,816. Other known processes to form hydroxymethylesters from fatty acids may also be used such as described by U.S. Pat. Nos. 2,332,849 and 3,787,459.

In forming the VOB monomers, the formylated fatty acid alkyl esters may be completely formylated or only partially formylated. That is to say, the fatty acid alkyl esters of the particular vegetable oil may have some remaining unsaturated (C=C) bonds. Preferably, however, the amount of unsaturated bonds remaining after formylation is as

described in concurrently filed application titled "ALDEHYDE AND ALCOHOL COMPOSITIONS DERIVED FROM SEED OILS," having inventors Donald Morrison et al., attorney docket number 63104, and US Provisional Application No. 60/465,663, filed April 25, 2003 and concurrently filed non-provisional application claiming priority therefrom, incorporated herein by reference. After the fatty acid alkyl esters are formylated they are hydrogenated, such that there is desirably essentially no remaining unsaturated bonds (i.e., trace amounts at most and preferably no detectable amounts of unsaturation).

The VOB monomer and the initiator are mixed or blended together by any suitable means such as those known in the art. For example, simple stirring is sufficient.

15 The VOB monomer and initiator are heated to a reaction temperature, for a reaction time, while under a vacuum and in the presence of an amount of a catalyst sufficient to form the vegetable based polyol. The reaction temperature that is employed is, for example, a function of the VOB monomer, initiator and catalyst, but the reaction temperature is generally at least about 140°C to about 300°C when using a tin or titanium catalyst. Preferably, the reaction temperature is at least about 150°C, more preferably at least about 180°C, most preferably at least about 190°C to preferably at most about 250°C, more preferably at most about 220°C and most preferably at most about 210°C.

The catalyst may be any suitable catalyst such as a tin, titanium, enzyme catalyst (e.g., lipase), carbonate catalyst (e.g., K_2CO_3 , $NaHCO_3$) or combination thereof.

In a preferred embodiment, the catalyst is an enzyme catalyst, such as lipase, which allows the reaction temperature to be below about 100°C to about room temperature. This in turn allows the use of initiators (e.g., sugar) that

would be degraded by the higher temperatures using tin or titanium catalysts.

The reaction time, similarly, is dependent on the variables described above for the reaction temperature.

5 Generally, the time is at least about 10 minutes to at most about 24 hours. Preferably, the reaction time is at least about 15 minutes, more preferably at least about 30 minutes, more preferably at least about 30 minutes, more preferably at least about 1 hour to preferably at most about 12 hours, more preferably at most about 9 hours and most preferably at most about 5 hours.

To form the VOB polyol, it has been found that it is critical that the reaction be carried out under a vacuum. This is even true where the initiator is volatile at the reaction temperature. Volatile means that the initiator will volatilize off entirely in substantially less time than the total reaction time under the vacuum. For example, when the initiator is glycerol, the glycerol in the reaction vessel minus the VOB monomer would be volatilized off under a vacuum of about 20 torr in about 120 minutes at 200°C. Generally, the vacuum is at least about 100 torr. Preferably the vacuum is at least about 50 torr, more preferably the vacuum is at least about 20 torr.

In a preferred embodiment, particularly when using a volatile initiator, the VOB is placed in the reactor under vacuum at the reaction temperature for a period of time sufficient to transesterify a substantial amount of the VOB monomer (e.g., at least about 10 percent of the ester groups of the VOB monomer have undergone transesterification) and subsequently the initiator is added to form the VOB polyol. This method allows for precise control of the molecular weight without substantial loss of a volatile initiator.

25

The amount of catalyst has also been found to be critical when using a tin or titanium catalyst solely. This is particularly true when the initiator is volatile as

described previously. The amount of catalyst must be some minimum amount to effect the reaction between the initiator and VOB monomer sufficiently quickly to realize the VOB polyol. The amount of catalyst depends, for example, on the particular type of catalyst, VOB monomer, and initiator.

Generally, when a tin catalyst is employed, the amount of catalyst is at least about 100 ppm to at most about 2500 ppm by weight of tin to the total reaction mixture. Preferably, the amount of tin catalyst is at least about 250 ppm, more preferably at least about 500 ppm and most preferably at least about 1000 ppm to preferably at most about 2000 ppm, more preferably at most about 1500 ppm. The tin catalyst may be any suitable tin catalyst such as those known in the art. Exemplary tin catalysts include tin (II) octanoate, tin (II) 2-ethylheptanoate, dibutyl tin (IV) dilaurate, and other tin catalysts which are similarly functionalized. Preferably the tin catalyst is tin (II) octanoate, tin (II) 2-ethylheptanoate, dibutyl tin (IV) dilaurate or combination thereof.

Generally, when a titanium catalyst is employed, the amount of catalyst is at least about 100 ppm to at most about 2500 ppm by weight of titanium to the total reaction mixture. Preferably, the amount of titanium catalyst is at least about 250 ppm, more preferably at least about 500 ppm and most preferably at least about 1000 ppm to preferably at most about 2000 ppm, more preferably at most about 1500 ppm. The titanium catalyst may be any suitable such as those known in the art. Exemplary titanium catalysts include titanium tetraisopropoxide, titanium tetraisobutoxide, or any appropriately functionalized titanium (IV) alkoxide. Preferably the titanium catalyst is titanium tetraisopropoxide.

The ratio of VOB monomer to initiator reactive groups is typically at least a stoichiometric amount (i.e., if the initiator is 1 mole of glycerol, the amount of VOB

monomer is at least 3 moles) to at most about 100.

Preferably the ratio of VOB monomer to initiator reactive groups is at least 2, more preferably at least about 5, even more preferably at least about 7 and most preferably at least about 10, to preferably at most about 50, more preferably at most about 25, and most preferably at most about 20. It has been surprisingly found when using these higher ratios even when reacting with a VOB monomer having multiple hydroxy group monomers therein a VOB polyol may be formed that is non-gelled and even a liquid.

When employing the method of the present invention, it has been surprisingly discovered that a VOB polyol may be formed that has at least a portion of the polyol being comprised of a polyol molecule that has at least one initiator reactive group that is unreacted even while the VOB polyol as a whole has a VOB monomer to initiator reactive site ratio of at least 5. That is to say, the VOB polyol is comprised of

(I)
$$X - A - H$$
 p $X - H$ q and (II) $R - X - A - H$ t

20 where

25

15

R is a residue of a polyol, polyamine or aminoalcohol initiator;

X and X' may be the same or different and is O, N or NH; p is an integer from 1 to 5; q is an integer from 1 to 5 wherein p + q is from 3 to 8, t is an integer from 3 to 8 and A may be the same or different and is selected from the group consisting of A1, A2 and A3 where

5

15

A3 is

where m, n, v, r, s, a, b and c are integers and m is greater than 3, n greater than or equal to zero and m+n is from 11 to 19, v is greater than 3, r is greater than or equal to zero, s is greater than or equal to zero and v+r+s is from 10 to 18, a is from 0 to 35, b is from 0 to 35 and c is from 0 to 35, so long as that all a's, b's and c's in any molecule of the vegetable oil based polyol are not all zero and (a+b+c)/(p+q+t) is about 5 to about 100 in the vegetable oil based polyol. The (a+b+c)/p+q+t) ratio is indicative of the VOB monomer to initiator reactive group ratio.

In a preferred embodiment, the VOB polyol has at least a portion of the polyol being comprised of A3 constituent. This particular embodiment is preferred, because, it allows the polyol to realize a sufficient

hydroxyl functionality while achieving a sufficient molecular weight for use to make, for example, flexible polyurethane foams using the VOB polyol as the sole polyol reacted with an isocyanate to form the polyurethane foam. Preferably, the amount of the A3 constituent in the VOB polyol is at least about 0.01 weight percent of the total VOB polyol, more preferably the amount is at least about 0.02 weight percent, most preferably at least about 0.05 weight percent to preferably at most about 25 weight percent, more preferably at most about 20 weight percent and most preferably at most about 10 weight percent of the VOB polyol.

When the VOB polyol contains A3, (a+b+c)/(p+q+t) is greater than 0 to about 100. Preferably, (a+b+c)/(p+q+t) is at least about 0.25, more preferably at least about 0.5, most preferably at least about 1, to preferably at most about 50, more preferably at most about 25 and most preferably at most about 20.

In another preferred embodiment when employing an initiator having, for example, a secondary hydroxyl or amine, the VOB polyol may have a portion of the VOB polyol that has a structure

$$\mathbb{X}^{X-A-\tilde{H}_p}$$

where at least one X'-H group is a primary hydroxyl or primary amine and at least one X-A-H is located at a position corresponding to a secondary hydroxyl or secondary amine of the initiator. Preferably, the VOB polyol is at least partially comprised of the above structure where all of the X'-H groups are a primary hydroxyl or primary amine and all of the X-A-H groups are located at a position corresponding to a secondary hydroxyl or secondary amine of the initiator.

25

30

The VOB polyol may be used to make polyurethanes by reacting it with a polyisocyanate such as those known in the

art using known methods to make such polyurethanes.

Preferably the polyurethane is a flexible foam. More preferably the polyurethane is a flexible foam that has been formed by reacting the VOB polyol with a polyisocyanate in the absence of any other polyol. That is to say the VOB polyol is the sole polyol that is used to make the flexible foam.

Generally the VOB polyol may have a weight average molecular weight of about 350 to about 10,000. Preferably the weight average molecular weight is at least about 500, more preferably at least about 1000 and most preferably at least about 1200 to preferably at most about 10,000, more preferably at most about 6000 and most preferably at most about 3000. It is preferred that the VOB polyol is a liquid and surprisingly the method employed is capable of making high molecular weight polyols without gellation.

VOB polyols of the invention may be used with any of the additives commonly known in the art for the production of polyurethane polymers. Any of a range of additives such as blowing agents, catalysts, surfactants, cell openers, colorants, fillers, load bearing enhancement additives such as copolymer polyols, water, internal mold releases, antistatic agents, antimicrobial agents, and other additives known to those skilled in the art are useful within the scope of the invention.

20

25

30

35

While the full range of surfactants which are typically used in the formation of polyurethane foams are useful, certain surfactants are preferred for foams which have high percentages of vegetable based polyols as the polyol component of the foam formulation. In particular, in the formation of flexible slabstock foam, high efficiency alkoxylsilane surfactants such as those commonly used in specialty grades of flexible foam such as low-resiliency or "visco-elastic" foam are unexpectedly found to greatly enhance the properties of flexible slabstock foams made when

100 percent of the polyol side of the foam is made from VOB polyol. Surfactants which are preferred are those such as L626 available from Crompton Corporation or other polyol pendant chains grafted with a silicone moiety. Property enhancements are observed in properties such as foam cell size, cell structure, foam feel or "hand," which is defined as the aesthetic feel or tactile quality of the foam, that indicates its fineness, texture, and durability, and foam porosity. The preferred surfactants result in slabstock foam products from 100 percent VOB polyol which have such properties comparable to slabstock foams prepared from 100 percent conventional commercial-grade EO/PO polyols.

10

15

It also has been found that the VOB polyols of this invention may form polyurethane foams made with a wide range of water concentrations. Generally, the water concentrations may range from about 1 part per hundred parts to about 10 parts per hundred parts of polyol by weight. Preferably, the water concentration is at least about 2, more preferably 3 and most preferably at least about 4 to preferably at most 20 about 9, more preferably at most 8 and most preferably at most about 6 parts per hundred parts of polyol by weight.

EXAMPLES

EXAMPLES 1-27. Methods for Producing Polyols from Vegetable Oil Based Fatty Acid Methyl Esters

25 Hydroxymethylated fatty acid methyl esters of soybean oil and 9, (10) -hydroxymethyl stearate (from methyl oleate) are produced according to the procedure described in concurrently filed application titled "ALDEHYDE AND ALCOHOL COMPOSITIONS DERIVED FROM SEED OILS, " having inventors Donald Morrison, et al., attorney docket number 63104 described previously.

Glycerol was obtained from the Sigma-Aldrich Chemical Company (CAS# [56-81-5]) and distilled under vacuum at 20mm/183°C. Distilled glycerol was then stored under nitrogen until used.

CEI-625 is a glycerol initiated EO polyol with a number average molecular weight of 625. It is produced at The Dow Chemical Company.

Trimethylolpropane [77-99-6] is obtained from the Sigma-Aldrich Chemical Co.

1,6-hexanediol [629-11-8] is obtained from the Sigma-Aldrich
Chemical Co.

CEI-1200 is a glycerol initiated EO polyol with a number average molecular weight of 1200. It is produced at The Dow Chemical Company.

PE-270 is a pentaerythritol based polyol which has been ethoxylated with ethylene oxide to a number average molecular weight of 270. PE-270 is available from Aldrich Chemical Company of Milwaukee, WI.

Tetrol 600 is pentaerythritol which has been ethoxylated to a number average molecular weight of 600. It was prepared at The Dow Chemical Co.

Tetrol 800 is pentaerythritol which has been ethoxylated to a number average molecular weight of 800. It is available from Sigma Aldrich Chemical Company of Milwaukee, WI, and is sold as pentaerythritol ethoxylate [30599-15-6].

25 Sucrose [57-50-1] is obtained from the Imperial Sugar Co.

20

D-Sorbitol [50-70-4] is obtained from the Sigma Aldrich Chemical Co.

Pentaerythritol [115-77-5] is obtained from the Sigma Aldrich Chemical Co.

N-methylpyrrolidinone (NMP) [872-50-4] is obtained from the Sigma Aldrich Chemical Co.

Diethylene Glycol [111-46-6] is obtained from the Sigma Aldrich Chemical Co.

Voranol 370 is a mixture of sucrose and glycerol propoxylated to a MW of ~800. It has an average functionality of 6.85, and is obtained from The Dow Chemical Co.

Potassium Carbonate [584-08-7] is obtained from the Sigma Aldrich Chemical Co.

10 Ethylene Diamine [107-15-3] is obtained from the Sigma Aldrich Chemical Co.

Vanox 945 is an antioxidant package available from RT Vanderbilt Co. Inc. It is a mixture of 60-70 percent benzeneamine, -N-phenyl-, reaction product with 2,4,4-

trimethylpentene and 2-methylpropene [184378-08-3], 20-25
percent tetrakis(methylene(3,5-di-t-butyl-4hydroxyhydrocinnamate))methane [6683-19-8], 9 percent
petroleum process oil, <3.0 percent DMS extractable material
[64742-52-5], <1 percent diphenylamine [122-39-4], 1 percent
phenothiazine [92-84-2].

Irganox 5057 is benzeneamine,-N-phenyl-, reaction product with 2,4,4-trimethylpentene [68411-46-1] available from Ciba Co.

Tin (II) Octanoate [301-10-0] is available from City Chemical Co.

Ti (IV) isopropoxide [546-68-9] is obtained from the Sigma Aldrich Chemical Co.

Stannous Octanoate [301-10-0] is available from City Chemical Co.

Calcium acetate [62-54-4] is obtained from Sigma Aldrich Chemical Co.

Tin (II) ethyl hexanoate [301-10-0] is obtained from Sigma Aldrich Chemical Co.

5 Dibutyltin dilaurate [77-58-7] is available from Sigma Aldrich Chemical Company of Milwaukee, WI.

Lipase catalyst is derived from candida antarctica and is supported on acrylate beads. This polymer-supported lipase catalyst is available from Sigma Aldrich Chemical Company of Milwaukee, WI.

Hydroxyl equivalent weight analysis is performed by the Olin Titration method.

Percent acidity is measured by the ASTM test method designation D 4662-93.

10

Molecular weight values (Mn, Mw, Mz, Mp, PD) are measured by gel permeation chromatography using Polymer Labs PL Gel columns and polyethylene oxide or polystyrene standards.

Examples 1-17. A general polymerization procedure for seed oil polyols produced from fatty acid methyl esters

Hydroxymethylated fatty acid methyl ester monomer was transferred into a three-necked reaction flask between 500 ml and 5000 ml in capacity, the choice of which is commonly known to those skilled in the art and is dependent on the amount of starting materials used. The reactor was equipped with a mechanical stirrer, packed condenser, nitrogen purge, heating mantle with thermowatch and a thermometer. A vacuum line equipped with a dry ice trap and vacuum regulator was attached. Initiator and monomer were added and the contents of the reactor stirred and degassed while heating to 50 degrees under 20 torr vacuum. Once the temperature was stable, catalyst was added and the temperature was increased to the final specified reaction

temperature. The initial setting for the vacuum was started at 100 torr and the pressure was decreased slowly to 5-20 torr. Heating was continued at the specified reaction temperature until methanol loss was no longer visible,

5 usually at least about 1 hour, and no more than 28 hours. Heating was continued and a slow flow of nitrogen was added through the nitrogen purge while still maintaining a pressure of 5 to 20 torr. Polymerization was allowed to continue for at least 1 hour and no more than 28 hours. In some specified cases an antioxidant such as Vanox 945 or Irganox 5057 was added just before the fluid polymer is transferred to a glass jar under nitrogen.

The following tables describe the recipes used to produce polyols of the invention. The following examples of the invention are meant to illustrate but not limit the scope of the invention. In all tables, the term "M/I ratio" is meant to indicate the molar ratio of hydroxymethylated fatty acid methyl ester monomer to the initiator. The catalysts are identified according to the following designations: Sn(II) is stannous octanoate; Sn(IV) is dibutyl tin dilaurate; Ti(IV) is titanium tetraisopropoxide; Ca is calcium acetate.

Examples 1-10. Polyols produced from 9(10)-Hydroxymethyl Stearate

20

Polyols were produced from 9,(10)-hydroxymethyl stearate using the specified initiator and catalyst using the above procedure and as detailed in the below Table I.

Table I: Examples 1-10 Polyol Properties and Run Conditions:

æ	- 53			2.00		1.69	1.6982	1.56	1.37	1.77	2.01
題	1841	19,111	25293	6192	702	6507	7473	6340	43/3	7667	11,675
ASI	1210	10,222	13,217	4427	4823		6676	4524	5248		6RT0
Ą	786	4125	5088	2937	2847		3640	2629	2967	3073	2
Đ	430	7863	8617	4200	5281	5440	4453	3803	5073	5678	3
HEW	404	1140	1047	814	718	850	801	925	974	663	-
Rydrogyl percent	4.209	1.49	1.62	2.088	2.3674	1.999	2.123	1.837	1.746	2.56	
Acidity (meg/g)	0.0145	i	ŀ	0.0112	0.0064	0.00168	0.0030	0.0023	0.00392		
Wascosity (of at 25C)	260	:		3410	6100	2920	4540	2420	3170	2790	
Filmo (br)	27	14	14	4	12	24	m	-	27	14	
Temp (deg C)	205	210	210	205	195	205	205	205	205	205	
Catalyst Temp (PPM) (deg	966 986	·Sn(II) 97	Sh(II) 2400	Sn(II) 1000	Sn(II) .	Sn(II)	Ti (IV)		1.		200
M/I mole ratio	8.38	1.5	9.04	4.68	6.99	6.51	6.48	6.54	10.0	5.09	7
Initiator Name	Glycerol	Trimethylol propane	1,4- Hexanediol	CEI-1200	CEI-625	CEI-625	CBI-625	CBI-625	CRI-625	Tetrol 800	
#	0.483	0.2463	0.0337	0.757	0.422	0.6223	0.6243	0.6234	0.4049	0.060	
Example #	н	2	ю	4	2	9	7	80	6	10	

Examples 11-18. Polyols produced from hydroxymethylated - fatty acid methyl esters of vegetable oils

The vegetable oil based polyols were produced using the above general procedure from the hydroxymethylated fatty acid methyl esters of soybean oil and these polyols are shown in the below Table II.

| | æ | | 1.70 | | 1.66 |

 | 1.54
 |
 | 1.60 |
 | 7 |
 | 02 | £: 53 | | 1.38
 | | 1.37 |
|--------|----------------------|--|---------------------|--|--
--

--
---|---|---
--
---|---
--
--|---|---|--|--|---|
| | E E | | 6770 | | 6413 |

 | 5185
 |
 | 6410 |
 | 6065 |
 | 6152 | 3 | , , , , , | \$107
 | 2000 | Z861 |
| | AS | | 5018 | | 4342 |

 | 3612
 |
 | 4595 |
 | 4304 |
 | 4270 | | 1 1 150 | 000
 | 2017 | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, |
| | Ħ | | 2959 | 2616 | 0104 | 22,62

 | 7567
 |
 | 2877 |
 | 2858 |
 | 2687 | | 1053 | }
 | 1491 | ! |
| | ğ | | 4472 | 4009 | } | 3110

 | 977
 |
 | 4643 |
 | 3977 |
 | 4000 | | 1082 |
 | 1299 | |
| | REW | | 158 | 754 | 1 | 904

 | <u>.</u>
 | ,
 | 5277 |
 | 755 |
 | 192 | | 145 |
 | 325 | |
| TCTOUB | Hydroxy1
percent | 2 03 | 70.7 | 2.254 | | 1.881

 | !
 | 1 512
 | 1 |
 | 2.2524 |
 | 2.233 | | 11.67 |
 | 5.22 | |
| 2000 | | 0.0030 | } | 0.00248 | | 0.00064

 |
 | 0.0086
 | |
 | 0.00143 |
 | 0.0059 | | 0.0037 |
 | 0.00401 | |
| 010000 | Viscosity
(of at | 25C)
5140 | | 4100 | | 2730

 |
 | 2680
 | | 3450
 | | 2720
 | 02/5 | 00.1 | 001 |
 | 1160 | |
| Run | The (br.) | 12 | | 12 | | 9

 |
 | 3
 | | 9
 | } | 12
 | 1 | , | • | ,
 | 3 | |
| | Temp
(deg c) | 195 | | 195 | | 132

 |
 | 205
 | | 195
 | | 195
 | | 195 |) | 105
 | 730 | $\left. ight $ |
| | Catalyst
(PPM) | | 1188 | Sh(II)
1365 | 120 | 801

 | ;;;
 | Sa(II)
 | . 995 | Sn(II)
 | 701 | Sh(II)
 | 827 | Sn(II) | 1097 | Om /TT
 | 1034 | |
| _ | | | | 5.98 | _ |

 |
 | 86.9
 | • • • | <u>.,</u>
 | | .5.99
 | . ; | 0.1 | | | | |
 | | |
| | Initiato | CEI-625 | 400 | CZ9-TSD | CRT-625 |

 |
 | Tecro
 | | CEI-625
 | | CEI-625
 | | PE-270 | | CEI-625
 | | |
| | Initiator
(moles) | 0.395 | 523 | 0.523 | 0.512 |

 | 707
 | #0#·0
 | | 1.445
 | | 0.637
 | | 2.09 | | 1.25
 | | , |
| | | 11 | \$ | 77 | 13 |

 | 1,1
 | # 7
 | ļ |
 | | 16
 | | 17 | - | 18
 | | |
| | | Thitistor M/I Catalyst Femp Time Viscosity Addity Hydroxyl REW ND Nm NW NZ ratio | Initiator Initiator | Indicator Indicator Indica | Initiator Init | Initiator Initiator <t< td=""><td>Initiator Initiator <t< td=""><td>Initiator (moles) Initiator (moles) Initiator (moles) Initiator (moles) Run (moles) Anidity (moles) Moles (moles)</td><td>Initiator (moles) Initiator (moles) Initiator (moles) Initiator (moles) Run (moles) Anidity (moles) Run (mod/s) Anidity (mod/s) Run (mod/s)</td><td>Initiator (moles) Initiator (moles) Initiator (moles) Initiator (moles) Run (moles) Anitiator (moles) Initiator (moles) <th< td=""><td>Initiator (moles) Initiator (moles)</td><td>Initiator Initiator M/I Catalyst Temp Time Viscosity Acidity Rydroxy1 REW ND <th< td=""><td>Initiator Main light Run light Abstract light Mach light Mach</td><td>Initiator M/1 Catalyst Pemp (deg C) Phm (hr) Photoles Photoles</td><td>Inditidator Inditidator Inditidator</td><td>Initiator M/I Catalyst Temp Time Viscosity Action Process May Process Mate Mat Mate Mate Ma</td><td>Indicated state of modes Indicated state of modes Run modes Number of modes Run modes Number of modes Run modes Indicated state of modes</td></th<><td>Indicated consistency Indicated consists Run (modes) Run (modes) Part (modes) Run (modes) Addity (modes) Part (modes) Run (modes) Addity (modes) Run (modes) Addity (modes) Run (modes)</td></td></th<></td></t<></td></t<> | Initiator Initiator <t< td=""><td>Initiator (moles) Initiator (moles) Initiator (moles) Initiator (moles) Run (moles) Anidity (moles) Moles (moles)</td><td>Initiator (moles) Initiator (moles) Initiator (moles) Initiator (moles) Run (moles) Anidity (moles) Run (mod/s) Anidity (mod/s) Run (mod/s)</td><td>Initiator (moles) Initiator (moles) Initiator (moles) Initiator (moles) Run (moles) Anitiator (moles) Initiator (moles) <th< td=""><td>Initiator (moles) Initiator (moles)</td><td>Initiator Initiator M/I Catalyst Temp Time Viscosity Acidity Rydroxy1 REW ND <th< td=""><td>Initiator Main light Run light Abstract light Mach light Mach</td><td>Initiator M/1 Catalyst Pemp (deg C) Phm (hr) Photoles Photoles</td><td>Inditidator Inditidator Inditidator</td><td>Initiator M/I Catalyst Temp Time Viscosity Action Process May Process Mate Mat Mate Mate Ma</td><td>Indicated state of modes Indicated state of modes Run modes Number of modes Run modes Number of modes Run modes Indicated state of modes</td></th<><td>Indicated consistency Indicated consists Run (modes) Run (modes) Part (modes) Run (modes) Addity (modes) Part (modes) Run (modes) Addity (modes) Run (modes) Addity (modes) Run (modes)</td></td></th<></td></t<> | Initiator (moles) Initiator (moles) Initiator (moles) Initiator (moles) Run (moles) Anidity (moles) Moles (moles) | Initiator (moles) Initiator (moles) Initiator (moles) Initiator (moles) Run (moles) Anidity (moles) Run (mod/s) Anidity (mod/s) Run (mod/s) | Initiator (moles) Initiator (moles) Initiator (moles) Initiator (moles) Run (moles) Anitiator (moles) Initiator (moles) <th< td=""><td>Initiator (moles) Initiator (moles)</td><td>Initiator Initiator M/I Catalyst Temp Time Viscosity Acidity Rydroxy1 REW ND <th< td=""><td>Initiator Main light Run light Abstract light Mach light Mach</td><td>Initiator M/1 Catalyst Pemp (deg C) Phm (hr) Photoles Photoles</td><td>Inditidator Inditidator Inditidator</td><td>Initiator M/I Catalyst Temp Time Viscosity Action Process May Process Mate Mat Mate Mate Ma</td><td>Indicated state of modes Indicated state of modes Run modes Number of modes Run modes Number of modes Run modes Indicated state of modes</td></th<><td>Indicated consistency Indicated consists Run (modes) Run (modes) Part (modes) Run (modes) Addity (modes) Part (modes) Run (modes) Addity (modes) Run (modes) Addity (modes) Run (modes)</td></td></th<> | Initiator (moles) Initiator (moles) | Initiator Initiator M/I Catalyst Temp Time Viscosity Acidity Rydroxy1 REW ND ND <th< td=""><td>Initiator Main light Run light Abstract light Mach light Mach</td><td>Initiator M/1 Catalyst Pemp (deg C) Phm (hr) Photoles Photoles</td><td>Inditidator Inditidator Inditidator</td><td>Initiator M/I Catalyst Temp Time Viscosity Action Process May Process Mate Mat Mate Mate Ma</td><td>Indicated state of modes Indicated state of modes Run modes Number of modes Run modes Number of modes Run modes Indicated state of modes</td></th<> <td>Indicated consistency Indicated consists Run (modes) Run (modes) Part (modes) Run (modes) Addity (modes) Part (modes) Run (modes) Addity (modes) Run (modes) Addity (modes) Run (modes)</td> | Initiator Main light Run light Abstract light Mach | Initiator M/1 Catalyst Pemp (deg C) Phm (hr) Photoles Photoles | Inditidator Inditidator | Initiator M/I Catalyst Temp Time Viscosity Action Process May Process Mate Mat Mate Mate Ma | Indicated state of modes Indicated state of modes Run modes Number of modes Run modes Number of modes Run modes Indicated state of modes | Indicated consistency Indicated consists Run (modes) Run (modes) Part (modes) Run (modes) Addity (modes) Part (modes) Run (modes) Addity (modes) Run (modes) Addity (modes) Run (modes) |

Examples 19-22. Large scale preparation of vegetable oil based polyols using CEI-625 initiator and stannous octanoate catalyst:

Hydroxymethylated methyl esters of soybean oil and CEI-625 were combined in a reactor with stirring, and the oxygen was purged from the reactor by pulling a vacuum on the reactor and refilling the reactor with nitrogen. Catalyst (stannous octanoate) was added to the reactor and agitation was continued. A slow nitrogen sparge was fed to the reactor and the mixture was heated to 205°C while maintaining a vacuum of 80 torr. The heating was continued for a minimum of 4 hours under a constant vacuum with a slow nitrogen sweep.

The mixture was cooled to 62°C and the antioxidant Irganox 5057 (121 grams) was added with continuing agitation.

In the following examples, FAME indicates the source of the fatty acid methyl ester used for the polymerization, with HMS indicating hydroxymethyl stearate and Soy indicating hydroxymethylated soybean oil. The catalyst Sn(II) is stannous octanoate. The properties and reaction conditions of the polyols of Examples 19-22 are shown in Table III.

15

Table III: Properties and Reaction Conditions of Examples 19-22

				_		_	_				
	g.		7179 1.6456		7072 1.6713	}		1.64			1.67
	Ma		7179		_			4367 6403			6409
	A		5136		5039			4367			4293
	ğ		3121		3015			2003			2578
	₽		5136		4970	-	0707				2362
	MEM		875		832		772 30		•	770 EC	200
	Eydrosy, percent	- 1			0.000713 2.0421	•	2.256 772 30			2.363	
	Acidity (meq/g)	0 00354			0.000713		0.002			0.00489	
	₽ .	2800			3010		3940		- 1	3570	•
	Pine Time	(32)	4.5	I	4		17			12	
	Temp Run (deg C) Time		225	T	205	105/20	07/567		105/20	02/567	
	Catalyst PPM	Sn(II)	1002	Sn(II)	1000	Qm/TT1	1005	3	_	1000	001
	mole ratio		I D	10:1		1.9			1:9		
	CEI-625	1.1 30	60.11	20.59		11.8	٠,٠		11.8	٠.	
5	rang Rg	HM3		SWH	70.31	Soy	26.15		Soy	26.15	
Perennal	#	19		20	•	21			22		

Examples 23-24. Lipase-catalyzed, saccharide-initiated polyols

Hydroxymethylated fatty acid methyl ester monomer prepared from soybean oil was transferred into a three-necked reaction flask between 1000 ml and 5000 ml in capacity, the choice of which is commonly known to those skilled in the art and is dependent on the amount of starting materials used. The reactor was equipped with a mechanical stirrer, packed condenser, nitrogen purge, heating mantle with thermowatch and a thermometer. A vacuum line equipped with a dry ice trap and vacuum regulator was attached.

The reactants (monomer and initiator) were weighed into the flask and heated to 50°C under 20 torr vacuum. Following this degassing step, the catalyst (0.5 g) was

15 added. The reactor was maintained at 50°C at 20 torr vacuum for 6 hours. Then the reactor was heated to 60°C under 20 torr vacuum and held there for 12 more hours. The reactor was cooled to 50°C and the product filtered through a funnel with a plug of glass wool. The product solidified on

20 standing at room temperature and this polyol's properties and reaction conditions are shown in Table IV.

Table IV: Properties and Reaction Conditions of Examples 23 and 24.

£		1.29		1.25			
Ms		2542		1954			
IBW		1454		1504			
ā		836 1126 1454		800 1207 1504			
B		836		800			
HEW				477.1			
Hydrony1 percent		0.0356 8.110 209.6		0.00643 3.563			
Acidity (meq/g)		0.0356		0.00643			
C) Fins Viscosity Acidity Rydrogyl	25C)	5300		592			
Run Time	Î	42		42			
Temy (deg		20		. 50			
Lipase Catalys(1	0.50		4 .0.50			
_ 0 3		1.49		ന .			
Initiator Name	Olycerol/	Sucrose 1		0.0798 STYCETOL 8.			
Example Initiator Initiator W / (moles) Name Wol	23 0.0786 dlycerol/	0.0672		0.0798			
Example #	23		24				

Examples 25-26. Saccharide-initiated seed oil polyols produced with NMP cosolvent

Hydroxymethylated fatty acid methyl ester monomer derived from soybean oil was transferred into a three-necked reaction flask between 1000 ml and 5000 ml in capacity. reactor was equipped with a mechanical stirrer, packed condenser, nitrogen purge, heating mantle with thermowatch and a thermometer. A vacuum line equipped with a dry ice trap and vacuum regulator was attached. The reactants (monomer and initiator) were weighed into the flask and heated to 195°C under 20 torr vacuum. The system was maintained at this temperature for 3 hours during which time water was removed from the system. The system was heated to 195°C under 20 torr vacuum for 5 hours to remove the remainder of the water.

10

15

20

The system was opened and 0.71 gram of catalyst was The reactor was heated to 195°C under 20 torr vacuum added. and held there for 6 hours. The reactor was cooled to 170°C and 522 grams of NMP and another 0.71 gram catalyst charge was added. The temperature was maintained for 2 hours. Then 40 g of potassium carbonate was added and the vacuum was maintained at 100 torr. The temperature was maintained for 3 hours. The reactor contained a clear dark amber very viscous liquid. The NMP was removed. After no more NMP could be 25 removed the reactor was shutdown and left at 80°C to avoid solid formation. The reactor was heated to 180°C and slowly dumped through a funnel containing a plug of glass wool to remove any solid carbonate. A heat lamp was necessary to keep the liquid flowing. In these Examples, the catalysts Sn(II) was stannous octanoate. The properties and run conditions for Examples 25 and 26 are shown in Table V.

Table V: Properties and Run Conditions of Examples 25 and 26.

WO 2004/096882

		_				
B		1	r: / 8	7.6		
EN SA		7433	0299			
Mw Mz		4885	4542			
됩		2750		2904		
ĝ		5856		1213		
REW		240		273		
Hydroxy1 Percent		7.064		6.223		
Acidity (meg/g)		0.01007		0.00335		
Wile (PPM) (deg C) Time (oP at (meg/g) percent (meg/g)	136	8 >196000 0.01007 7.064 240 5856 2750 4885 7433 1 70		205 10 >196000 0.00335 6.223 273 1213 2904 4542 6670 1 56		
an Fig.		φ		10		
Temp (deg C)		195		205		
Catalyst (PFM) Sn(II)		3.0 1204				
W/I Wole ratio		0 M		0		
Initiator	Chipton		Penta-	erycaritor 12.0 942		
mple Initiator Initiato		86.0		1.90		
# #	55		9			

Examples 27-28. Seed oil polyols produced with Sn(II)/K₂CO₃ cocatalysts

In the following examples the catalysts are identified according to the following designations: Sn(II) is stannous octanoate, and K_2CO_3 is potassium carbonate.

Hydroxymethylated fatty acid methyl ester monomer was transferred into a three-necked reaction flask between 1000 ml and 5000 ml in capacity, the choice of which is commonly known to those skilled in the art and is dependent on the amount of starting materials used. The reactor was equipped with a mechanical stirrer, packed condenser, nitrogen purge, heating mantle with thermowatch and a thermometer. A vacuum line equipped with a dry ice trap and vacuum regulator was attached.

The reactants (monomer and initiator) were weighed into the flask and heated to 90°C under 100 torr vacuum. After 30 minutes, the vacuum was broken and $K_2\text{CO}_3$ was added. The reactor was heated to 120°C under 100 torr vacuum, and after 1 hour the stannous octanoate was added. The pressure was decreased to 50 torr and the system became visibly viscous and slightly yellow after 6 hours. The reactor was heated to 60°C and the product was transferred to a storage container.

15

Table VI: Properties and Conditions of Examples 27 and 28.

Q	90	44
	ri	
S N	858	5657
Mary	826 858 1.04	4064
a	795	417 3573 2823 4064 5657 1.44
₽	182 831	3573
HEW	182	417
Hydroxy1 percent	9.338	4.075
Acidity (meq/g)	2680 .0.00081 9.338	
Temp Run Viscosity Acidity Hydroxyl (deg C) Fime (oP at (meg/g) percent (hr) 25C)	2680	3230
Run Fime (hr)	Q	٠.٥
Temp (deg C)	90-110	120
K2CO3 / Sn(II) Catalyst (PFM)	90 4699/50 90-110 6	93 7040/59 120
M/I Mole ratio	06.0	
Initiator Initiator (moles) Name i	Voranol 370	Diethyleme 2 Glycol
mle (moles)	1.14	1.02
1 010	7	89

Example 29. Seed oil polyol produced from amine-containing initiator

The hydroxymethylated fatty acid methyl ester mixture derived from soybean oil (soy monomer) (100 g) was transferred into a 250-ml three-neck flask equipped with a magnetic stirrer, condenser/nitrogen purge with moisture trap, a heating mantle with thermowatch and a thermometer. Ethylenediamine (9.12 g) was added and heated to 140°C under Once the temperature was stable, Tin (II) 2-10 ethylhexanoate catalyst (0.1133 g) was added and the mixture was stirred overnight. The nitrogen line was then replaced with a vacuum line. Vacuum was gradually drawn down to 50 The reaction was monitored periodically to insure the 15 vacuum was stable. The polymerization was continued overnight. The vacuum was removed and the polyol was cooled and collected. The polyol at 25°C was a solid and the Mp, Mn, Mw, Mz and PD of the polyol was 955, 1034, 1316, 1598 and 1.27.

Example #30. Seed oil polyol produced with a different order of addition of the monomer and initiator

The VOB monomer (the hydroxymethylated fatty acid methyl ester mixture derived from soybean oil) only was weighed (8.5 moles) into the flask and the reactor was heated under 20 torr vacuum to 50°C. Following the degassing the vacuum was broken and 1.58 g of catalyst (tin II Octonoate) was added and the system heated to 195°C at 20 torr. The reaction was closely monitored to make sure that the homopolymerization was not allowed to go so far as to gel. After 4 hours, the solution was noticeably viscous even at 195°C, the reactor was shutdown, sampled, and left under nitrogen overnight. CEI-625 initiator was weighed (1.38 moles) into the flask and the reactor heated to 195°C under 20 torr vacuum. The solution was noticeably turbid even

30

after heating. After about 2 hours at 195°C, the system had cleared and the solution was much less viscous. After about 8 hours the reactor was cooled to 100°C and 9.1 grams of Irganox 1076 and 5.1 grams of Vanox 945 were added and the polyol was collected into a glass storage container. The properties of this polyol appear in Table VII.

Table VII: Properties of Example 30

10

Example #	Viscosity (cP at 25C)	Acidity (meq/g)	Hydroxyl percent	HEW	Мр	Mn	Mw	Mz	PD
30	3440	0.00323	2.573	660	4725	3163	4560	6184	1.44

Examples 31-67. Methods for production of polyurethanes from seed oil polyols:

All foam samples are prepared in a consistent manner according to the following general procedure.

15 Chemical components which are used for the preparation of flexible and rigid polyurethane foams include but are not limited to:

Diethanolamine (DEOA) is a molded foam crosslinker which is available from The Dow Chemical Co.

Dabco 33LV is a 33 percent crystalline diethylenetriamine in 67 percent dipropylene glycol. It is a molded foam catalyst which is available from Air Products and Chemicals, Inc.

Dabco DC 5164 is a molded foam silicone surfactant which is available from Air Products and Chemicals, Inc.

Tegostab-b8708 a molded foam silicone surfactant which is available from Degussa Goldschmidt Chemicals Corp.

Niax A-400 is a molded foam amine catalyst consisting of 40 percent tertiary amine/carboxylic salt (trade secret), 40 percent water, 20 percent bis (2-dimethylaminoethy)ether, and

4 percent hydroxyl compound (trade secret). Available from Crompton OSi Specialties Co.

Niax A-300 is a molded foam amine catalyst consisting of 40 percent tertiary amine/carboxylic salt (tradesecret), 20 percent triethylenediamine, and 40 percent water, available from Crompton OSi Specialties Co.

Polycat 58 is a proprietary composition amine catalyst used in molded foams, available from Air Products and Chemicals.

Polycat 5 is pentamethyl diethylene triamine. A rigid foam
catalyst which is available from Air Products and Chemicals,
Inc.

Polycat 8 is N,N-dimethyl cyclohexylamine. A rigid foam catalyst which is available from Air Products and Chemicals, Inc.

DC-5160, a flexible slabstock foam silicone surfactant is available from Air Products & Chemicals, Inc.

L-626 is a low-resiliency viscoelastic flexible slabstock foam surfactant available from Crompton Corp.

D-8264, is an optimized amine catalyst blend for slabstock foam available from Air Products & Chemicals, Inc.

Water used for these formulations is distilled, deionized water.

T-95, which is stannous octanoate catalyst, 33 percent by weight in dioctylphthalate is available from Air Products and Chemicals, Inc.

Voranol 3137A, which is a 2.7 average functional, 13 wt. percent ethylene oxide, heterofed 3100 MW polyol which is available from The Dow Chemical Company.

25

Voranol 3943A, which is an 1807 equivalent weight copolymer polyol based on Voranol 3136 (A 3100 MW 13 percent EO hetero

clear polyol) and 43 wt. percent styrene/ acrylonitrile solids, is available from The Dow Chemical Company.

Voranol 3512: A 2.7 functional, 3500 MW 13 percent wt. percent ethylene oxide, heterofed polyol, is available from The Dow Chemical Company.

Voranol 3010, which is a 2.8 functional, 3000 MW 8 percent ethylene oxide heterofed polyol, is available from The Dow Chemical Company.

Voranol 3022J, which is a 2.6 functional, 3000 MW all propylene oxide polyol, is available from The Dow Chemical Company.

Specflex NC-632 is a 4.7 functional, propylene oxide block plus 15 percent ethylene oxide capped 1750 EW polyol used in flexible molded foam. Available from The Dow Chemical Co.

Specflex NC-700 is a 40 percent solids (styrene-acrylonitrile) copolymer polyol based on Voranol 4735 (a 3.0 functional, propylene block plus 17 percent ethylene oxide capped polyol with a 1580 equivalent weight) used in flexible molded foams. Nominal equivalent weight is 2600. Available from The Dow Chemical Co.

Voranol 3136: A 2.7 average functionality, 13 wt. percent ethylene oxide heterofed 3100 MW polyol used to make slabstock foam. Available from The Dow Chemical Co.

Voranol CP 1421: A 2.94 average functionality, 80 percent ethylene oxide heterofed 5000 MW polyol used in flexible slab and molded foams which is available from The Dow Chemical Co.

25

DABCO T-9 is stabilized stannous octanoate, a catalyst used in flexible slabstock foams, available from Air Products and Chemicals, Inc.

VORANATE T-80 is type I TDI (toluene diisocyanate) with an equivalent weight of 87. Used in making flexible foams, it is available from The Dow Chemical Co.

PAPI 27 is a 2.7 functional polymeric MDI

(methylenediisocyanate) with an equivalent weight of 134.

Used in making rigid foams and available from The Dow

Chemical Co.

Firemaster-550 is a mixture of halogenated aryl esters and aromatic phoshates. Used as a flame retardant in flexible foams. Available from Great Lakes Chemical Co.

L6900 is a silicone surfactant used in rigid foams. Available from Crompton Osi Specialties Co.

10

30

HCFC Forane 141b is a hydrochloroflourocarbon blowing agent used in rigid foams. Available from Atonfina Chemicals, Inc.

Dypol 6862 is a solvent free pale yellow branched poly alcohol with ester and ether groups, available from Dyflex.

Baylith L is a 50 percent mixture of 3 angstrom molecular sieves in Castor oil, available from Bayer.

VORANATE M 220 is a polymeric MDI functionality 2.7 available from The Dow Chemical Company.

ISONATE M 143 is a carbodiimide modified pure MDI available from The Dow Chemical Company.

General Procedure for the Production of Polyurethanes from Vegetable Oil-Based (VOB) Polyols

All of the polyol components of a given formulation except the tin catalyst (stannous octanoate in dioctylphthalate, T-95), were individually metered and weighed into a one quart capacity metal cup. The contents were premixed for 15 seconds at 1800 rpm using a pin type

mixer. The tin catalyst, dispensed by volume, was then added to the stirred components and mixed for an additional 15 seconds at 1800 rpm. A stoichiometric amount of Toluene Diisocyanate (Voranate T-80), was then added to the cup and vigorously mixed for 3 seconds at 2400 rpm. The cup contents were then poured into a 15"x 15"x 10" wooden box lined with a polyethylene bag. The blowoff time and any other distinct reaction characteristics were recorded. The foam buns were allowed to cure overnight under a ventilated fume hood. They were then placed in ambient storage and submitted for physical property assessment using ASTM test method designation D 3574-95.

Where indicated, other slabstock foam data were generated on conventional continuous machines (Polymech or UBT) featuring a reciprocating mixing head and high pressure injection of all streams except the polyol. The polyol and isocyanate temperatures were maintained at around 23°C. The polyol output was 20 kg/min. (The polyols used in Examples 34-36 and Examples 49-51 were blended with Voranol 3137A either in the polyol tank or in the mixing head.).

15

20

According to the general procedure, the foams were prepared according to the following formulations, with the results of mechanical testing included in the tables:

Table VIII: Examples 31-33. Box foams prepared from hydroxymethyl stearate polyols

Cumple #			,
Example #	31	32	33
Components			
V-3137A	80	65	50
Polyol of Example # 6	20	35	50
Water	4.5	4.5	4.5
D-8264	0.12	0.12	0.12
DC5160	1	1	1
T-95, mils	0.4	0.4	0.4
Index	110	110	110
TDI	57.8	58.2	58.5
<u>Properties</u>			
Blow-Off	96	95	94
Air Flow	4.2	4.3	3.3
Com. Set.	3.7	4.3	4.4
Density	1.44	1.54	1.51
25 percent IFD	39.8	41.3	43.2
65 percent IFD	71.6	76.8	82.1
Guide Factor	27.6	26.8	28.6
Resiliency	38	37	36
Tensile	12.7	12.5	12.1
Tear	1.7	1.7	1.6
Elongation	104	90	74

Table IX: Examples 34-36. Flexible slabstock foam produced from hydroxymethyl stearate polyols on a continuous foam machine:

			T
Example #	34	35	36
Components			
Voranoi* 3137A	' 80	65	50
Combined Polyols of Examples # 19 and #20	20	35	50
Water	4.5	4.5	4.5
D - 8264	0.12	0.12	0.12
DC - 5160	1.0	1.0	1.0
DABCO T9	0.2	0.2	0.2
Voranate T-80	57.8	58.1	58.4
Foam Properties			
Density (kg/m3)	21.1	21.2	21.4
CFD 40 percent (kPa)	3.9	4.3	4.5
IFD 40 percent	156.2	168.4	175.9
SAG Factor	2.7	2.8	2.9
Hysterisis (percent)	45	49	53
Resilience (percent)	40	36	36
Guide Factor	7.4	7.9	8.2
Airflow (cfm)	3.1	2.4	2.1
Tensile (kPa)	89	80	78
Elongation (percent)	142	128	102
Tear (N/m)	426	337	309

These Examples were produced on a continuous slabstock foam production machine at a TDI index of 110.

Table X: Examples 37-41. Flexible molded foam produced from hydroxymethyl stearate polyols

Example #		07				T
Components		37	38	39	40	41
Specflex NC-632		70.00	60.00	50.00	70.00	70.00
Specflex NC-700		20.00	20.00	20.00	20.00	20.00
Combined Polyols of Exam	7100					
#19 and #20	pies	10.00	20.00	30.00	10.00	10.00
Voranol* CP 1421					1.00	
Polycat 58						0.30
Niax A-300		0.25	0.25	0.25	0.25	0.25
Niax A-400		0.10	0.10	0.10	0.10	0.10
Tegostab-b8708		0.80	0.80	0.80	0.80	0.80
Dabco 33LV		0.30	0.30	0.30	0.30	0.30
Dabco DC 5164		0.20	0.20	0.20	0.20	0.20
DEOA PURE		1.00	1.00	1.00	1.00	1.00
WATER		3.70	3.70	3.70	3.70	3.70
TOTAL PARTS		106.35	106.35	106.35	107.35	106.65
WATER CONTENT OF TH	E BLEND	3.65	3.65	3.65	3.61	3.64
OH# OF THE POLYOL BLE	ND	56.91	60.24	63.58	56.60	59.72
INDEX		100	100	100	100	100
Foam Properties			-			
Density Core		32.1	32.8	33.2	33.5	33.0
CFD 50 percent		4.9	5.5	5.6	5.0	4.7
Tensile Strength		106	114	125	109	102
Elongation		104	101	106	105	100
Tear Strength		281	271	284	255	266
Resilience		58	57 ·	55	57	61
Air Flow		1.61	2.49	1.68	2.28	2.62
Compression Set 50 percent		6.5	6.4	8.1	6.0	6.2
Compression Set 90 percent		11.3	11.3	13.5	8.7	9.7
Wet Compression set 70 percent		21.3	20.7	24.3	17.0	22.1

Table XI: Examples 42-44. Flexible foam produced from seed oil polyol as a 35 percent and 50 percent (w/w) blend with conventional EO/PO polyol.

Example #			T
	42	43	44
Components			
V-3137a	65	50	65
Polyol of Example # 12	35	50	
Polyol of Example # 13			35
Water	4.5	4.5	4.5
DC-5160	1	1	1
D-8264	0.12	0.12	0.12
T-95	0.4	0.5	0.7
Index	110	110	110
TDI	58.4	58.9	57.7
Blowoff	126	105	95
Airflow (cfm)	4.3	2.5	2.6
Density (pcf)	1.48	1.41	1,4
Tear (pli)	1.4	1	1.3
Mean(psi)	12.2	13.3	12.8
percent Elongation	69.5	67.8	83.7
CS_90orig	4.2	4.9	4.3
IFD_Slab:			
25 percent lbs.	39.2	47.2	44.9
65 percent lbs.	76.3	92.2	84.3
Resilency	30	33	33
GuideFactor	26.5	33.5	32.1

Table XII: Example 45: Flexible foam produced from seed oil polyol as a 65 percent (w/w) blend with conventional EO/PO polyol

Example #	T
	45
V-3136	35
Polyol of Example #15	65
Water	4.5
D-8264	0.12
L-626	1
DC5160	1
T-95, mils	0.32
Index	110
TDI	59.4
Blow-Off (sec)	88
Air Flow	Not determined
Com. Set.	Not determined
Density	Not determined
25 percent IFD	Not determined
65 percent IFD	Not determined
Guide Factor	Not determined
Resiliency	Not determined
Tensile	Not determined
Tear	Not determined

Table XIII: Examples 46-48: Flexible foams produced from seed oil polyol as 100 percent of the polyol component, and at different isocyanate indices

Example #	46	47	48
V-3137A			
Polyol of Example # 15	100	100	100
Water	4.5	4.5	4.5
D-8264	0.12	0.12	0.12
L-626	3	3	3
DC5160			
T-95, mils	0.38	0.28	0.38
Index	100	110	120
TD	57.4	63.1	68.8
Blow-Off		87	104
		<u> </u>	
Air Flow	0.91	0.63	0.31
Density	1.56	1.52	1.48
25 percent IFD	39.6	37.8	49.6
65 percent IFD	87.9	93.6	95.5
Guide Factor	25.4	24.9	33.5
Resiliency	28	29	32

5

Table XIV: Examples 49-51: Continuous Production of flexible slabstock foam from vegetable oil based polyol at 20 percent, 35 percent and 50 percent

		7	
Example #	49	50	51
Components		1	
V-3137	80	65	50
Combined Polyol of Examples # 21 and #22	20	35	50
Water	4.5	4.5	4.5
D-8264	0.12	0.12	0.12
DC5160	1	1	1
DABCO T-9	0.16	0.14	0.12
Index	110	110	110
Voranate T80	57.4	58.4	58.8
Properties			
Air Flow	4.5	4.1	4.1
Compression Set 75 percent	3.5	4.7	7.8
Density (kg/m^3)	22.8	23.4	22.5
40 percent IFD	166.6	167.5	186.4
Guide Factor	7.3	7.2	8.3
SAG	2.7	2.7	2.9
Resiliency (percent)	42	39	39
Tensile (kPa)	60	61	75
Tear (N/m)	279	266	194
Elongation	88	93	71
			/ 1 1

Table XV: Example 52: Flexible foam produced from seed oil polyol with copolymer polyol

Example #	52
Components	
Voranol-3136	15
Voranol-3943A	35
Polyol of Example # 15	50
Water	
D-8264	0.12
DC5160	1
T-95, mils	0.32
Index	110
TDI	57.5
Blow-Off (sec)	93
Air Flow	4
Com. Set.	1.9
Density	1.48
25 percent IFD	37.61
65 percent IFD	92.3
Guide Factor	25.4
Resiliency	31

Table XVI: Examples 53-56: Flexible molded foams produced from seed oil polyol with copolymer polyol:

Example	#	50		T	T
Components		53	54	55	56
Specflex NC-632	 -	70.00	20.00		12.22
Specifiex NC-700		70.00	60.00	50.00	40.00
		20.00	20.00	20.00	20.00
Combined Polyols from Examples #21 and #2		10.00	20.00	30.00	40.00
Voranol CP 1421					
Polycat 58					
Niax A-300		0.25	0.25	0.25	0.25
Niax A-400		0.10	0.10	0.10	0.10
Tegostab-b8708		0.80	0.80	0:80	0.80
Dabco 33LV		0.30	0.30	0.30	0.30
Dabco DC 5164		0.20	0.20	0.20	0.20
DEOA PURE		1.00	1.00	1.00	1.00
WATER		3.70	3.70	3.70	3.70
TOTAL PARTS		106.35	106.35	106.35	106.35
WATER CONTENT O	F THE BLEND	3.65	3.65	3.65	3.65
OH# OF THE POLYO	L BLEND	57.71	61.84	65.98	70.12
INDEX		100	100	100	100
Density Core		35.4	36.8	35.5	33.8
CFD 50 percent		5.6	6.6	6.2	6.4
Tensile Strength		107	134	109	107
Elongation		103	107	93	84
Tear Strength		223	220	237	198
Resilience		53.5	52	48.5	43.5
Air Flow		1.0	1.7	1.5	1.3
Hardness Loss after Fa	atigue	32	34	37	40
Compression Set	50 percent	7	8	10	12
Compression Set	90 percent	9	10	14	17
Wet Compression set	70 percent				

Example 57: Flexible foam produced from seed oil polyol using high water level in the formulation

Table XVII: Example 57 Components and Characteristics.

57
50
50
6
0.1
1
0.35
110
75.1
107
4.3
1.15
33.5
78.8
29.1
34

10

Examples 58-62. Rigid Foams prepared from seed oil polyols General Procedure for the production of rigid foams:

Foam components were measured to an appropriate total blended mass which was appropriate for the size of the container into which the foam will be formed, according to the ratios in the Table XVIII for Examples 58-62. isocyanate component was weighed into a mixing cup separately. All components except for the isocyanate were combined in a mixing cup and stirred at 1000 rpm for 6 seconds. The isocyanate was then added to the blend of all other components in the polyol, and this new mixture was blended at 1000 rpm for 6 seconds. The foam mixture was then

poured into the container in which the foam was to be formed. The following characteristics were measured: gel-time which is defined as when a tongue depressor inserted into the foam draws strings when removed (not surface stringing but stringing from foam Interior), and tack free-time which is defined as when the foam surface is no longer tacky, or does not adhere to touch. Results of these Examples are shown in Table XVIII

Table XVIII: Results of Examples 58-62

Example #	58	59	60	61	62
Components					
Voranoi 360	50 ì	75	50	25	0
Polyol of Example # 25	50				
Polyol of Example # 27		25	50	75	100
Polycat 5	1 ,	1	1	1	1
Polycat 8	2	2	2	2	2
Polycat 46	1	1	1	1	1
L-6900	2.15	2.15	2.15	2.15	2.15
Water	2.58	2.58	2.58	2.58	2.58
HCFC-141b	20	20	20	20	20
PAPI 27	137	137	137	137	137
Properties	•		- 101		
Gel Time (sec)	29	35	41	40	30
Tack Free (sec)	40	63	66	65	45
Crown Density (lbs/cft)	1.59	1.41	1.38	1.499	1.446

10

Examples 63 and 64: Elastomers and coatings prepared from vegetable oil-based polyol

Polyols of the examples were blended together by adding molecular sieves (Baylith L) and mixing by hand for 5 minutes. The blend is then degassed in a vacuum oven until no bubbles were retained.

Method for production of an elastomer plate or a coating

The polyol of the example and the isocyanate were mixed at room temperature for a minimum of 1 minute to 20 achieve complete homogeneity. The resulting mixture was cast

into a mold of 2mm thickness for preparation of an elastomer, or cast onto a flat surface for the preparation of a coating. Curing of a thin layer (2mm) at atmospheric condition gave a good elastomer without bubbles. Alternatively, the casting was cured in an oven for 1 hour at 75°C.

The resulting polyurethane sample was kept at room temperature for 7 days before testing, or alternatively was post cured at 75° C 10 hours before testing.

Table XIX: Examples 62 and 63 Components and Results.

Example #		62	63
Components			
Castor oil			
Dypol 6862			
Polyol of Example #18		100	100
Baylith L		5	5
VORANATE M 220		42.5	
ISONATE M 143			45.5
Properties			
Tensile strength	MPa	4.7	4.0
Elongation	percent	56	76
Tear strength	N/cm	56	56
Pot life (geitimer)	min	48	55
Shore A (7days)		70	73
Shore D (7 days)		24	20

WHAT IS CLAIMED IS:

1. A vegetable oil based polyol comprised of

where

R is a residue of a polyol, polyamine or aminoalcohol initiator;

X and X' may be the same or different and is 0, N or NH; p is an integer from 1 to 5; q is an integer from 1 to 5 wherein p + q is from 3 to 8, t is an integer from 3 to 8 and A may be the same or different and is selected from the group consisting of A1, A2 and A3 where

$$\begin{bmatrix} O & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & \\ & & & \\ & &$$

15

10

O
$$CH_2OH$$
 CH_2OH CH_2OH

where m, n, v, r, s, a, b and c are integers and m is greater than 3, n greater than or equal to zero and m+n is from 11 to 19, v is greater than 3, r is greater than or equal to zero, s is greater than or equal to zero and v+r+s is from 10 to 18, a is from 0 to 35, b is from 0 to 35 and c is from 0 to 35, so long as that all a's, b's and c's in any molecule of the vegetable oil based polyol are not all zero and (a+b+c)/(p+q+t) is about 5 to about 100 in the vegetable oil based polyol.

- 2. The vegetable oil based polyol of Claim 1 wherein at least a portion of A is A3.
- 3. The vegetable oil based polyol of Claim 2 wherein the amount of A3 present in the vegetable oil based polyol is at least about 0.01 percent to 25 percent by weight of the vegetable oil based polyol.
 - 4. The vegetable oil based polyol of Claim 1 wherein the initiator is of a polyol, polyamine or aminoalcohol that has a primary hydroxyl or primary amine and a secondary hydroxyl or secondary amine group.
 - 5. The vegetable oil based polyol of Claim 4 wherein the initiator has a secondary hydroxyl group.

20

6. The vegetable oil based polyol of Claim 4 wherein at least a portion of the vegetable oil based polyol has a structure

where at least one X'-H group is a primary hydroxyl or primary amine and at least one X-A-H is located at a position corresponding to a secondary hydroxyl or secondary amine of the initiator.

7. The vegetable oil based polyol of Claim 6 wherein at least a portion of the vegetable oil based polyol has a structure:

$$\mathbf{R}^{\mathbf{X}-\mathbf{A}-\mathbf{H}}_{\mathbf{X}}\mathbf{p}$$

- where all of the X'-H groups are a primary hydroxyl or primary amine and all of the X-A-H groups are located at a position corresponding to a secondary hydroxyl or secondary amine of initiator.
- 8. The vegetable oil based polyol of Claim 7
 wherein the initiator is selected from the group consisting of trimethylolpropane; pentaerythritol; sorbitol; sucrose; glycerol; bis-3-aminopropyl methylamine; diethylene triamine; 9(1)-hydroxymethyloctadecanol, 1,2,6-hexanetriol; any of the aforementioned where at least one of the alcohol or amine groups present therein has been reacted with ethylene oxide, propylene oxide or mixture thereof; and combination thereof.
 - 9. The vegetable oil based polyol of Claim 1 wherein the initiator is glycerol, pentaerythritol, sucrose, sorbitol, an ethoxylated glycerol, propyxylated glycerol, ethoxylated pentaerthritol, propyxylated glycerol or mixture thereof.

25

10. The vegetable oil based polyol of Claim 1 wherein the initiator is glycerol or glycerol where at least

one of the alcohol groups of the glycerol has been reacted with ethylene oxide or propylene oxide.

- 11. The vegetable oil based polyol of Claim 10 wherein the initiator is glycerol.
 - 12. A vegetable oil based polyol comprised of

where

5

R is a residue of a polyol, polyamine or aminoalcohol initiator;

10 X and X' may be the same or different and is O, N or NH; p is an integer from 1 to 5; q is an integer from 1 to 5 wherein p + q is from 2 to 8, t is an integer from 2 to 8 and A may be the same or different and is selected from the group consisting of A1, A2 and A3 where

15

CH2OH
$$\begin{array}{c} CH_{2}OH \\ C-(CH_{2})_{V}-CH-(CH_{2})_{r}-CH-(CH_{2})_{r}-CHCH_{2}O \\ CH_{2}OH & (CH_{2})_{s}CH_{3} \end{array}$$
 A3 is

where m, n, v, r, s, a, b and c are integers and m is greater than 3, n greater than or equal to zero and m+n is from 11 to 19, v is greater than 3, r is greater than or equal to zero, s is greater than or equal to zero and v+r+s is from 10 to 18, a is from 0 to 35, b is from 0 to 35 and c is from 0 to 35, so long as that all a's, b's and c's are essentially not all zero, at least a portion of A is A3 and (a+b+c)/(p+q+t) is greater than 0 to about 100 in the vegetable oil based polyol.

- 13. The vegetable based oil of Claim 12 wherein (a+b+c)/(p+q+t) is about 0.5 to 50.
- 15 14. The vegetable based oil of Claim 13 wherein (a+b+c)/(p+q+t) is about 1 to 25.
 - 15. The vegetable based oil of Claim 12 wherein the initiator has a secondary hydroxyl group.
- 16. The vegetable oil based polyol of Claim 12
 20 wherein at least a portion of the vegetable oil based polyol has a structure

where at least one X'-H group is a primary hydroxyl or primary amine and at least one X-A-H is located at a position corresponding to a secondary hydroxyl or secondary amine of the initiator.

17. The vegetable oil based polyol of Claim 16 wherein at least a portion of the vegetable oil based polyol has a structure:

- where all of the X'-H groups are a primary hydroxyl or primary amine and all of the X-A-H groups are located at a position corresponding to a secondary hydroxyl or secondary amine of the initiator.
- 18. The vegetable oil based polyol of Claim 17 wherein the initiator is glycerol.
- wherein the initiator is selected from the group consisting of neopentylglycol; 1,4-cyclohexane diol; 2,5-hexanediol; 1,6-hexanediol; 1,2-propylene glycol; trimethylolpropane; pentaerythritol; sorbitol; sucrose; glycerol; 1,6-hexanediol; 1,4-butanediol; ethylene glycol; diethylene glycol; triethylene glycol; bis-3-aminopropyl methylamine; ethylene diamine; diethylene triamine; 9(1)-hydroxymethyloctadecanol; 1,4-bishydroxymethylcyclohexane; 8,8-
- bis(hydroxymethyl)tricyclo[5,2,1,0^{2,6}]decene; Dimerol alcohol; hydrogenated bisphenol; 9,9(10,10) bishydroxymethyloctadecanol; 1,2,6-hexanetriol; any of the aforementioned where at least one of the alcohol or amine

groups present therein has been reacted with ethylene oxide, propylene oxide or mixture thereof; and combination thereof.

- 20. The vegetable oil based polyol of Claim 19 wherein the initiator is glycerol or glycerol where at least one of the alcohol groups of the glycerol has been reacted with ethylene oxide or propylene oxide.
 - 21. The vegetable oil based polyol of Claim 1 wherein vegetable based polyol is a liquid and has a weight average molecular weight of at least 350.
- 22. The vegetable oil based polyol of Claim 21 wherein the weight average molecular weight is at least about 1500.
- 23. The vegetable oil based polyol of Claim 22 wherein the weight average molecular weight is at least about 1800.
 - 24. The vegetable oil based polyol of Claim 12 wherein vegetable based polyol is a liquid and has an average molecular weight of at least about 350 by weight.
- 25. The vegetable oil based polyol of Claim 24
 wherein the average molecular weight is at least about 1500
 by weight.
 - 26. The vegetable oil based polyol of Claim 25 wherein the weight average molecular weight is at least about 1800.
- 27. A process to make a vegetable based polyol, the process comprising,
 - i) mixing an initiator that is a polyol, polyamine, aminoalcohol or mixture thereof, and a vegetable oil based monomer having at least one of the formulae:

$$CH_3O-C-(CH_2)_m-CH-CH_2-OH$$

5

10

III,

$$\begin{array}{c} \text{CH}_2\text{OH} \\ \text{CH}_3\text{O---C} - (\text{CH}_2)_\text{v} - \text{CH---(CH}_2)_\text{r} - \text{CH} - \text{CH}_2 - \text{OH} \\ \\ \text{CH}_2)_\text{s} \text{CH}_3 \end{array}$$

$$_{\text{CH}_{3}\text{O}}^{\text{O}}$$
 $_{\text{CH}_{2}\text{OH}}^{\text{CH}_{2}\text{OH}}$ $_{\text{CH}_{2}\text{OH}}^{\text{CH}_{2}\text{OH}}$ $_{\text{CH}_{2}\text{OH}}^{\text{CH}_{2}\text{OH}}$ $_{\text{CH}_{2}\text{OH}}^{\text{CH}_{2}\text{OH}}$ $_{\text{CH}_{3}\text{OH}_{3}}^{\text{CH}_{3}\text{OH}}$

where m, n, v, r, and s are integers and m is greater than 3, n greater than or equal to zero and m+n is from 11 to 19, v is greater than 3, r is greater than or equal to zero, s is greater than or equal to zero and v+r+s is from 10 to 18, and

- ii) heating the mixture to a reaction temperature, for a reaction time, while under a vacuum and in the presence of an amount of a catalyst sufficient to form the vegetable based polyol.
- 15 28. The process of Claim 27 wherein the catalyst is a tin catalyst and the amount of catalyst is at least about 100 parts per million by weight of the total mixture.
 - 29. The process of Claim 28 wherein the amount of catalyst is at least about 250 parts per million.
- 30. The process of Claim 27 wherein the catalyst is titanium catalyst and the amount of catalyst is at least about 100 parts per million by weight.

31. The process of Claim 30 wherein the amount of catalyst is at least about 500 parts per million.

- 32. The process of Claim 28 wherein the catalyst is selected from the group consisting of tin (II) ethylheptanoate, tin (II) octanoate, dibutylytin (IV) dilaurate and combination thereof.
- 33. The process of Claim 30 wherein the catalyst is titanium tetraisopropoxide, titanium tetraisobutoxide or combination thereof
- 34. The process of Claim 27 wherein the catalyst is an enzyme catalyst.
 - 35. The process of Claim 34 wherein the catalyst is lipase.
- 36. The process of Claim 27 wherein the catalyst is comprised of a carbonate catalyst.
 - 37. The process of Claim 36 wherein the carbonate catalyst is K_2CO_3 , NaHCO $_3$ or combination thereof.
 - 38. The process of Claim 27 wherein the initiator has at least one secondary hydroxyl or secondary aminogroup.
- 39. The process of Claim 27 wherein the initiator is glycerol.
 - 40. The process of Claim 27, wherein the initiator has volatility such that at the reaction temperature and vacuum the initiator would be in the absence of the vegetable oil based monomer substantially evaporated in at most about 120 minutes.

25

41. A polyurethane comprised of the reaction product of a polyisocyanate and the vegetable based polyol of Claim 1.

42. A polyurethane comprised of the reaction product of a polyisocyanate and the vegetable based polyol of Claim 12.

- 43. A process to make a vegetable based polyol, the process comprising,
 - i) heating, in the presence of a catalyst a vegetable oil based monomer having at least one of the formulae:

$$_{\rm CH_3O-C-(CH_2)_{m}-CH-CH_2-OH}^{\rm O}$$

15

$$\begin{array}{c} \text{CH}_{2}\text{OH} \\ \text{CH}_{3}\text{O--C--(CH}_{2})_{v}\text{-CH---(CH}_{2})_{r}\text{-CH----(CH}_{2})_{r}\text{-CHCH}_{2}\text{-OH} \\ \text{CH}_{2}\text{OH} & \text{(CH}_{2})_{s}\text{ CH}_{3} \end{array}$$

where m, n, v, r, and s are integers and m is greater than 3, n greater than or equal to zero and m+n is from 11 to 19, v is greater than 3, r is greater than or equal to zero, s is greater than or equal to zero and v+r+s is from 10 to 18 until some portion of the VOB monomers have reacted and subsequently

ii) introducing an initiator that is a polyol,
polyamine, aminoalcohol or mixture thereof to the
reacted VOB monomers of step (i) for a time and

temperature sufficient to form the vegetable based polyol under vacuum.

44. The process of Claim 43 wherein the initiator is volatile at the reaction conditions of step (ii).

INTERNATIONAL SEARCH REPORT

tional Application No
'US2004/012427

			2001/01212/
IPC 7	CO8G18/36 CO8G18/42 CO8G18	/48	
	to International Patent Classification (IPC) or to both national classification	fication and IPC	·
	SEARCHED		
IPC 7	ocumentation searched (classification system followed by classific C08G		
	tion searched other than minimum documentation to the extent that		
Electronic d	lata base consulted during the international search (name of data	pase and, where practical, search terms	used)
EPO-In	ternal, WPI Data, PAJ		
C. DOCUM	ENTS CONSIDERED TO BE RELEVANT		
Category °	Citation of document, with indication, where appropriate, of the	elevant passages	Relevant to claim No.
			risoran to days to:
х	EP 0 106 491 A (HENKEL CORP) 25 April 1984 (1984-04-25) page 4, line 28 - page 5, line 2		1-4,6-9, 12-14, 16,17, 19, 21-33, 41-44
	page 9, line 29 - page 10, line page 12, line 10 - page 12, line claims 1,4,8,9	15	·
X Furth	er documents are listed in the continuation of box C.	Patent family members are lis	ted in annex.
A document defining the general state of the art which is not considered to be of particular relevance 'E* earlier document but published on or after the International filling date 'L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) 'C* document reterring to an oral disclosure, use, exhibition or other means 'P* document published after the international filling date but later than the priority date claimed 'T* later document published after the international fill or or priority date and not in conflict with the application o		with the application but or theory underlying the the claimed invention not be considered to e document is taken alone the claimed invention in Inventive step when the r more other such docu- vious to a person skilled tent family	
	August 2004	Date of mailing of the International 06/09/2004	search report
Name and m	ailing address of the ISA European Palent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Authorized officer Heidenhain, R	

INTERNATIONAL SEARCH REPORT

ional Application No US2004/012427

C.(Continu	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	052004/01242/
Category °		Relevant to claim No.
X	US 4 534 907 A (ROGIER EDGAR R ET AL) 13 August 1985 (1985-08-13) column 3, line 10 - column 4, line 35 column 6, line 14 - column 6, line 23	1-4,6-9, 12-14, 16,17, 19, 21-33, 41-44
A	Claims 1,4-15 US 4 216 344 A (ROGIER EDGAR R)	1-44
	5 August 1980 (1980-08-05) claims 1-5 	
•		
	•	
		·
		·
	·	
	•	
	•	
	•	

INTERNATIONAL SEARCH REPORT

information on patent family members

tional Application No 'US2004/012427

Patent document cited in search report		Publication date		Patent family member(s)		Publication date
EP 0106491	Α	25-04-1984	US	4534907	A	13-08-1985
			US	4496487	Α	29-01-1985
			US	4423162	Α	27-12-1983
			ΕP	0106491	A2	25-04-1984
			US	4543369	A	24-09-1985
			CA	1220485	A1	14-04-1987
			JP	59065043	A	13-04-1984
US 4534907	Α	13-08-1985	EP	0106491	A2	25-04-1984
			US	4543369	A	24-09-1985
US 4216344	Ā	05-08-1980	CA	1144573	A1	12-04-1983
			DE	3061482		03-02-1983
			ΕP	0017503		15-10-1980
			JP	55153733	A	29-11-1980
			US	4304945		08-12-1981

This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:
BLACK BORDERS
☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
☐ FADED TEXT OR DRAWING
☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING
☐ SKEWED/SLANTED IMAGES
☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
☐ GRAY SCALE DOCUMENTS
☐ LINES OR MARKS ON ORIGINAL DOCUMENT
☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.